



January 10, 2024

BSE Limited
Listing Department
1st Floor, New Trade Ring, Rotunda Building,
Phiroze Jeejeebhoy Towers, Dalal Street
Mumbai - 400 001

Dear Sir / Madam,

Scrip Codes: 958825,973307,973308,973926,974073,974106

Subject: SDD Compliance Certificate for the quarter ended 31st December, 2023

Pursuant to the provision of Regulation 3(5) and 3(6) of the Securities Exchange Board of India (Prohibition of Insider Trading) Regulation, 2015. Please find attached herewith SDD compliance certificate for the quarter ended 31st December, 2023.

We request you kindly take the same on record.

Thanking You,

For Dvara Kshetriya Gramin Financial Services Private Limited

A handwritten signature in blue ink that reads 'Deepika' with a horizontal line underneath.

Deepika Bhatt
Company Secretary & Compliance Officer
M. No.: F11027

Dvara Kshetriya Gramin Financial Services Private Limited
(Formerly Pudhuaaru Financial Services Private Limited)

CIN.: U65991TN1993PTC024547

Regd. Office: 10th Floor, Phase I, IIT-Madras Research Park,
Kanagam Village, Taramani, Chennai 600113, India.

T: +91 44 66687000 | **E:** contactus@dvarakgfs.com | **W:** dvarakgfs.com



COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 31ST DECEMBER, 2023

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Deepika Bhatt, Compliance Officer of Dvara Kshetriya Gramin Financial Services Private Limited, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place.
2. control exists as to who can access the SDD.
3. all the UPSI disseminated in the previous quarter have been captured in the Database.
4. the system has captured the nature of UPSI along with date and time.
5. the database has been maintained internally and an audit trail is maintained.
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture one event during the quarter ended 31st December, 2023 and has captured the said required event in the software.

I would like to report that there were no non-compliance(s) observed in the previous quarter.

For Dvara Kshetriya Gramin Financial Services Private Limited

A handwritten signature in blue ink that reads "Deepika" with a horizontal line underneath.

Deepika Bhatt
Company Secretary & Compliance Officer
M. No.: F11027

Date: January 10, 2024

Place: New Delhi

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