

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
as on financial year ended on 31.03.2020

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014

I REGISTRATION & OTHER DETAILS:

i	CIN	U65991TN1993PTC024547
ii	Registration Date	04-03-1993
iii	Name of the Company	DVARA KSHETRIYA GRAMIN FINANCIAL SERVICES PRIVATE LIMITED
iv	Category/Sub-category of the Company	Company limited by shares
v	Address of the Registered office & contact details	10th Floor, Phase-1, A1, IIT-Madras Research Park, Kanagam Village, Taramani, Chennai - 600 113
vi	Whether shares listed on stock exchange	No
vii	Name , Address & contact details of the Registrar & Transfer Agent, if any	NA

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated

SL No	Name & Description of main products/services	NIC Code of the Product /service	% to total turnover of the company
1	Non-Banking Financial Company	6492 (Credit granting in the form of Loans)	100%

III PARTICULARS OF HOLDING , SUBSIDIARY & ASSOCIATE COMPANIES

SL.NO	NAME & ADDRESS OF THE COMPANY	CIN/GLN	CATEGORY	% OF SHARES HELD	APPLICABLE SECTION

Indebtedness of the Company including interest outstanding/accrued but not due for payment				
	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtness at the beginning of the financial year				
i) Principal Amount	5,58,11,26,967	30,00,00,000	-	5,88,11,26,967
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	2,57,63,677	-	-	2,57,63,677
Total (i+ii+iii)	5,60,68,90,644	30,00,00,000	-	5,90,68,90,644
Change in Indebtedness during the financial year				
Additions	4,54,89,95,648	20,00,00,000	-	4,74,89,95,648
Reduction	56,74,796	-	-	56,74,796
Net Change	4,54,33,20,852	20,00,00,000	-	4,74,33,20,852
Indebtedness at the end of the financial year				
i) Principal Amount	5,90,06,90,312	50,00,00,000	-	6,40,06,90,312
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	3,14,38,473	-	-	3,14,38,473
Total (i+ii+iii)	5,93,21,28,785	50,00,00,000.00	-	6,43,21,28,785

V SHAREHOLDING PATTERN (Equity Share capital Break up as % to total Equity)

(i) Category wise shareholding
financial year ended on 31.03.2020

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian	-	-	-	-	-	-	-	-	-
a) Individual/HUF	-	-	-	-	-	-	-	-	-
b) Central Govt. or State Govt.	-	-	-	-	-	-	-	-	-
c) Bodies Corporates	-	32,33,833	32,33,833	44.51	3496583	-	34,96,583	32.18	8.12
d) Bank/FI	-	-	-	-	-	-	-	-	-
e) Any other	-	-	-	-	-	-	-	-	-
SUB TOTAL:(A) (1)		32,33,833	32,33,833	44.51	3496583		34,96,583	32.18	8.12
(2) Foreign	-	-	-	-	-	-	-	-	-
a) NRI- Individuals	-	-	-	-	-	-	-	-	-
b) Other Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corp.	-	-	-	-	-	-	-	-	-
d) Banks/FI	-	-	-	-	-	-	-	-	-
e) Any other...	-	-	-	-	-	-	-	-	-
SUB TOTAL (A) (2)									
Total Shareholding of Promoter									
(A)= (A)(1)+(A)(2)		32,33,833	32,33,833	44.51	3496583		34,96,583	32.18	8.12%

V SHAREHOLDING PATTERN (Equity Share capital Break up as % to total Equity)

(i) Category wise shareholding financial year ended on 31.03.2020

Category of Shareholders	No. of Shares held at the beginning of the year			No. of Shares held at the end of the year			% Change during the year
B. PUBLIC SHAREHOLDING							
(1) Institutions							
a) Mutual Funds	-	-	-	-	-	-	-
b) Banks/FI	-	-	-	-	-	-	-
c) Central govt	-	-	-	-	-	-	-
d) State Govt.	-	-	-	-	-	-	-
e) Venture Capital Fund	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-
g) FIIS	-	40,32,202	40,32,202	55.49	6712249	67,12,249	61.77
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-
i) Others [Specify]	-	-	-	-	-	-	-
SUB TOTAL (B)(1):	-	40,32,202	40,32,202	55.49	6712249	67,12,249	61.77
(2) Non Institutions							
a) Bodies corporates	-	-	-	-	-	-	-
i) Indian	-	-	-	-	6,56,874	6,56,874	6.05
ii) Overseas	-	-	-	-	-	-	-
b) Individuals	-	-	-	-	-	-	-
i) Individual shareholders holding nominal share capital upto Rs.1 lakhs	-	-	-	-	-	-	-
ii) Individuals shareholders holding nominal share capital in excess of Rs. 1 lakhs	-	-	-	-	-	-	-
c) Others [Section 8]	-	-	-	-	-	-	-
SUB TOTAL (B)(2):	-	-	-	-	6,56,874	6,56,874	6.05
Total Public Shareholding (B)= (B)(1)+(B)(2)	-	40,32,202	40,32,202	55.49	7369123	73,69,123	67.82
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-
Grand Total (A+B+C)		72,66,035	72,66,035	100%	1,08,65,706	1,08,65,706	100.00

VI SHAREHOLDING OF PROMOTERS as on financial year ended on 31.03.2020

Sl No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% Change in share holding during the year
		No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	Increase
1	Dvara Trust	32,33,833	44.51%		34,96,583	32.18%		8.12%
	Total	32,33,833	44.51%	-	34,96,583	32.18%	-	8.12%

VII CHANGE IN PROMOTERS' SHAREHOLDING (SPECIFY IF THERE IS NO CHANGE)

Sl. No.	Shareholders Name	Share holding at the beginning of the Year		Share holding at the end of the Year	
		No. of Shares	% of total shares of the company	No. of Shares	% of total shares of the company
1	At the beginning of the year	32,33,833	44.51%	34,96,583	32.18%
2	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer /bonus/ sweat equity etc)	Allotment of 262,750 Equity Shares to Dvara Trust on 22 April 2019			
3	At the End of the year	34,96,583	44.51%	34,96,583	32.18%

VIII Shareholding Pattern of top ten Shareholders (other than Directors, Promoters & Holders of GDRs & ADRs)

Sl. No	Top Ten shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No.of shares	% of total shares of the company	No of shares	% of total shares of the company
NIL					

IX Shareholding of Directors & KMP

Sl. No	For Each of the Directors & KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No.of shares	% of total shares of the company	No of shares	% of total shares of the company
NIL					

X REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole time director and/or Manager: NIL

Sl.No	Particulars of Remuneration	Name of the MD/WTD/Manager			Total Amount
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income Tax, 1961.	-	-	-	-
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961	-	-	-	-
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	-	-	-	-
2	Stock option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission	-	-	-	-
	(i) as % of profit	-	-	-	-
	(ii) others (specify)	-	-	-	-
5	Others, please specify	-	-	-	-
	Total (A)	-	-	-	-
	Ceiling as per the Act			NA	

B. REMUNERATION TO OTHER DIRECTORS

Sl.No	Particulars of Remuneration	Name of the Directors			Total Amount
		Mr.S.Viswanathan	Mr. NT Arunkumar	Ms. Anisha Motwani	
1	Independent Directors				
	(a) Fee for attending Board/Committee meetings	8,25,000	6,25,000	3,50,000	14,50,000
	(b) Commission	-	-	-	-
	(c) Others, please specify	-	-	-	-
	Total (1)	8,25,000	6,25,000	3,50,000	14,50,000
2	Other Non Executive Directors				
	(a) Fee for attending board committee meetings	-	-	-	-
	(b) Commission	-	-	-	-
	(c) Others, please specify	-	-	-	-
	Total (2)	-	-	-	-
	Total (B)=(1+2)	8,25,000	6,25,000	3,50,000	14,50,000
	Total Managerial Remuneration				
	Overall Ceiling as per the Act	NA	NA	NA	-

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sl. No.	Particulars of Remuneration	Key Managerial Personnel (Rs. in Lakhs)			Total (Rs. In Lakhs)
		CFO	CS		
1	Gross Salary				
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961.	66.40	17.66		84.06
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	-	-		-
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	-	-		-
2	Stock Option	-	-		-
3	Sweat Equity	-	-		-
4	Commission	-	-		-
	(i) as % of profit	-	-		-
	(ii) others, specify	-	-		-
5	Others, please specify	-	-		-
		-	-		-
	Total	66.40	17.66		84.06

XI PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority (RD/NCLT/Court)	Appeall made if any (give details)
A. COMPANY					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
	-	-	-	-	-
B. DIRECTORS					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
	-	-	-	-	-
C. OTHER OFFICERS IN DEFAULT					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-

On behalf of the Board of Directors
For Dvara Kshetriya Gramin Financial Services Private Limited

Director

Director